

10-66-04

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| APPLICATION NUMBER | FILING OR 371 (c) DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|------------------------|-----------------------|------------------------|
| 10/778,014 | 02/12/2004 | Achim Gratz | 10808/123 |

CONFIRMATION NO. 3343

00757
BRINKS HOFER GILSON & LIONE
P.O. BOX 10395
CHICAGO, IL 60610

FORMALITIES LETTER



OC000000013470209

Date Mailed: 08/06/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

10/08/2004 HALI11 00000019 231925 10778014

| | | |
|------------|-----------|----------------------------|
| 02 FC:1202 | 576.00 OP | FILED UNDER 37 CFR 1.53(b) |
| 03 FC:1051 | 130.00 OP | |
| 04 FC:1053 | 130.00 OP | |
| 05 FC:1001 | 770.00 OP | |

20.00 DA

Filing Date Granted

Items Required To Avoid Abandonment:

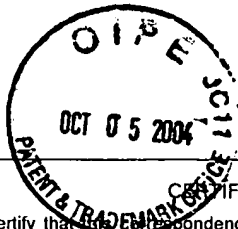
An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The application was filed in a language other than English. Applicant is required to provide an English translation of the specification and a statement that the translation is accurate. (See 37 CFR 1.52(d)).
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(i), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- Because your specification was filed in a language other than English, the Office was unable to determine the number of claims submitted. Additional claim fees may be due once the number of claims can be determined.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$1030** for a Large Entity

- **\$770** Statutory basic filing fee.
- **\$130** Late oath or declaration Surcharge.
- **\$130** for English translation surcharge required.



CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8
I hereby certify that the correspondence is being deposited with the United States Postal Service as Express Mail No. EV325988022US in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on the below date:
Date: October 5, 2004 Name: Stephen C. Smith, No. 53,617 Signature:

BRINKS
HOFER
GILSON
& LIONE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Gratz et al.

Appln. No.: 10/778,014

Filed: February 12, 2004

For: SEMICONDUCTOR CONTROL
ARRANGEMENT WITH DITCH
ISOLATION AND MANUFACTURE
PROCEDURE

Examiner: Manager,
Application Branch

Art Unit: Not assigned

Attorney Docket No: 10808/123

Mail Stop Missing Parts
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL

Sir:

Attached is/are:

- ☒ Transmittal Letter (in duplicate); Copy of Notice to File Missing Parts of Nonprovisional Application; Response to Notice to File Missing Parts of Application Filing Date Granted; Preliminary Amendment; Declaration for Patent Application, Assignment Document, Assignment Recordal; Power of Attorney & Designation of Correspondence Address; Communication Regarding Power of Attorney; Certified Copy of German Patent Application with English Translation; and Check in the amount of \$1,646 (assignment fee included).
- ☒ Return Receipt Postcard

Fee calculation:

- ☐ No additional fee is required.
- ☐ Small Entity.
- ☐ An extension fee in an amount of \$_____ for a _____-month extension of time under 37 C.F.R. § 1.136(a).
- ☐ A petition or processing fee in an amount of \$_____ under 37 C.F.R. § 1.17(_____).
- ☒ An additional filing fee has been calculated as shown below:

| | | | | | Small Entity | | | Not a Small Entity | |
|---|----------------------------------|-------|---------------------------------|---------------|--------------|-----------|----|--------------------|-----------|
| | Claims Remaining After Amendment | | Highest No. Previously Paid For | Present Extra | Rate | Add'l Fee | or | Rate | Add'l Fee |
| Total | 52 | Minus | 20 | 32 | x \$9= | | | x \$18= | 576 |
| Indep. | 2 | Minus | 3 | 0 | x 43= | | | x \$86= | |
| First Presentation of Multiple Dep. Claim | | | | | + \$145= | | | + \$290= | |
| | | | | | Total | \$ | | Total | \$576 |

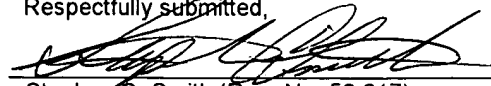
Fee payment:

- ☒ A check in the amount of \$1646 is enclosed.
- ☐ Please charge Deposit Account No. 23-1925 in the amount of \$_____. A copy of this Transmittal is enclosed for this purpose.
- ☐ Payment by credit card in the amount of \$_____ (Form PTO-2038 is attached).
- ☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any

extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.


October 5, 2004
Date

Respectfully submitted,


Stephen C. Smith (Reg. No. 53,617)

Replies should be mailed to: Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

A copy of this notice MUST be returned with the reply.



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

Express Mail Label Number EV325988022US

Date of Mailing October 5, 2004



Case No. 10808/123

Client Reference No.: IN1291US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:

Gratz et al.

Serial No.: 10/778,014

Filed: February 12, 2004

For: SEMICONDUCTOR
CONTROL
ARRANGEMENT WITH
DITCH ISOLATION AND
MANUFACTURE
PROCEDURE

Attention: Manager,
Application Branch

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION
FILING DATE GRANTED**

Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:


In accordance with the Notice to File Missing Parts of Application Filing Date Granted dated February 12, 2004, a copy of which is attached, enclosed herewith for filing are the following documents:

- ☒ Fully executed Declaration for Patent Application and Power of Attorney for the above-referenced patent application.
- ☐ Applicant:
 - ☐ claims small entity status. See 37 CFR1.27.
 - ☐ is other than small entity
- ☒ Checks totaling \$1646.00 for:

- ☒ Filing Fee of \$770.00.
- ☐ Independent Claim Surcharge of \$_____.
- ☒ Additional Claim Fees of \$576.00.
- ☒ Late Oath or Declaration Surcharge of \$130.00
- ☒ English Translation Surcharge of \$130.00
- ☒ Assignment Fee of \$40.00
- ☐ Petition for Extension of Time (37 C.F.R. § 1.136(a)) to file missing parts (in duplicate).
- ☒ Other:
1. English Translation of the specification and a statement that the translation is accurate;
2. Assignment Recordation
3. Preliminary Amendment.
- ☒ The Commissioner is hereby authorized to charge any deficiencies in fees or credit overpayment to Deposit Account No. 23-1925. A duplicate copy of this paper is enclosed.

Respectfully submitted,

Dated: October 5, 2004


Stephen Charles Smith
Registration No. 53,617
Attorney for Applicant(s)

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